SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

# **United States Patent Application** COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: APPARATUS AND METHOD OF INTRAVENOUS FLUID INFUSION.

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.

Attorney Docket No.: 929.001US1

Serial No. not assigned Filing Date: not assigned

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael Bianchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Leoniede M. Brennan, Thomas F. Brooks, Edward J., III Chu, Dinh C.P. Clark, Barbara J. Dahl, John M. Drake, Eduardo E. Eliseeva, Maria M. Embretson, Janet E. Fordenbacher, Paul J. Forrest, Bradley A. Harris Robert I.	Reg. No. 24,916 Reg. No. 39,610 Reg. No. 32,836 Reg. No. 42,331 Reg. No. 35,832 Reg. No. 35,075 Reg. No. 40,925 Reg. No. 41,676 Reg. No. 44,639 Reg. No. 44,639 Reg. No. 40,594 Reg. No. 43,328 Reg. No. 43,328 Reg. No. 42,546 Reg. No. 30,837 Reg. No. 30,837 Reg. No. 37,346	Jurkovich, Patti J. Kalis, Janal M. Kaufmann, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Leffert, Thomas W. Lemaire, Charles A. Litman, Mark A. Lundberg, Steven W. Mack, Lisa K. Maeyaert, Paul L. Maki, Peter C. Malen, Peter L. Mates, Robert E. McCrackin, Ann M.	Reg. No. 44,813 Reg. No. 37,650 Reg. No. 24,017 Reg. No. 40,052 Reg. No. 32,146 Reg. No. 41,136 Reg. No. 40,697 Reg. No. 36,198 Reg. No. 26,390 Reg. No. 26,390 Reg. No. 42,825 Reg. No. 42,825 Reg. No. 42,825 Reg. No. 42,832 Reg. No. 44,894 Reg. No. 35,271 Reg. No. 42,858	Nelson, Albin J. Nielsen, Walter W. Oh, Allen J. Padys, Danny J. Parker, J. Kevin Perdok, Monique M. Prout, William F. Schumm, Sherry W. Schwegman, Micheal L. Smith, Michael G. Speier, Gary J. Steffey, Charles E. Terry, Kathleen R. Tong, Viet V. Viksnins, Ann S. Woessner, Warren D.	Reg. No. 28,650 Reg. No. 25,539 Reg. No. 42,047 Reg. No. 35,635 Reg. No. 33,024 Reg. No. 42,989 Reg. No. 33,995 Reg. No. 39,422 Reg. No. 25,816 Reg. No. 45,458 Reg. No. 25,179 Reg. No. 31,884 Reg. No. 45,416 Reg. No. 45,416 Reg. No. 31,748 Reg. No. 30,440
Forrest, Bradley A. Harris, Robert J. Huebsch, Joseph C.	Reg. No. 30,837 Reg. No. 37,346 Reg. No. 42,673	Mates, Robert E. McCrackin, Ann M. Nama, Kash	Reg. No. 42,858 Reg. No. 44,255	Woessner, Warren D.	•

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402
Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	•	
Full Name of sole inventor : Citizenship: Post Office Address:	United States of America Residence: Esc 2730 Peet Lane	ondido, CA
Signature: Ann	Escondido CA 920257431  Marnes Lies Date: Dean McCormack Peterson	19th Apric, 2000
Full Name of inventor: Citizenship: Post Office Address:	Residence:	· · · · · · · · · · · · · · · · · · ·
Signature:	Date:	

Attorney Docket No.: 929.001US1 Serial No. not assigned Filing Date: not assigned

### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

929.001451

STATES OF MARIE

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JULY 25, 2000

PTAS

SCHWEGMAN, LUNDBERG ET AL MARK A. LITMAN P.O. BOX 2938 MINNEAPOLIS, MN 55402

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/10/2000

REEL/FRAME: 010809/0748

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PETERSON, DEAN MCCORMACK

DOC DATE: 04/19/2000

ASSIGNEE:

HPS MEDICAL, INC. 6222 BRAEBURN CIRCLE EDINA, MINNESOTA 55439

SERIAL NUMBER: 09567966

PATENT NUMBER:

FILING DATE: 05/10/2000

ISSUE DATE:

Schweginall, Lundberg Woessner & Kluth, P.A.

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

JUL 31 2000
RECEIVED

FCRM PTO-1584 01-16-96:CMG

Atty Ref/Docket No.: 929.001US1

05-30-2000



101368704

EET

ents or copy thereof.

HPS Medical, Inc.

6222 Braeburn Circle

Additional name(s) & address(es) attached? [ ]Yes [X]No

J.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

\_State:\_<u>MN\_\_ZIP:\_\_55439</u>

1. Name of conveying party(ies):

Name:

Street Address:

Name and address of receiving party(ies):

# Dean McCormack Peterson

To the Honorable Commissioner of Patents and .

Additional name(s) of conveying party(ies) attached? [ ]Yes [X]No

3. Nature of conveyance:

[X] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Other \_\_\_

Execution Date: April 19, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_April 19, 2000\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? []Yes [X]No

5. Name and address of party to whom correspondence

concerning document should be mailed:

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.

P.O. Box 2938

Name: Mark A. Litman

Minneapolis, MN 55402

6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR 3.41):\$ 40.00

[X] Enclosed

[] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our

Deposit account number: 19-0743

05/26/2000 JSHABAZZ 00000077 09567556

01 FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowled and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. Litman/Reg. No. 26,390 Name of Person Signing

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (OCS) 10113 Washington, D.C. 20502 (0651-0011), Washington, D.C. 20503.

#### ASSIGNMENT

WHEREAS, I, Dean McCormack Peterson, residing at 2730 Peet Lane, Escondido, CA 92025-7431, made certain new and useful inventions and improvements for which I executed an application for Letters Patent of the United States on Even Date Herewith, which is entitled APPARATUS AND METHOD OF INTRAVENOUS FLUID INFUSION.

AND WHEREAS, HPS Medical, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 6222 Braeburn Circle, Edina, MN 55439, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

Docket No. 929.001US1 Serial No. Not Assigned Filing Date: Even Date Herewith Page 2 of 2

AND, furthermore I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN TESTIMONY WHEREOF, I have hereunto set my hand this  $\underline{/9}^{th}$  day of  $\underline{APQC}$ , 2000.

Dean McCormack Peterson

STATE OF CALL FULLS)

(SS. COUNTY OF SAID (GC)

On this conday of \_\_\_\_\_\_, 2000 before me personally appeared Dean McCormack Peterson to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the

same for the uses and purposes therein set forth.

OFFICIAL SEAL GERALD E. MC NICHOLS

[SEAL]

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dean M. Peterson

Serial No. N w Application - Filed Herewith

Filed: October 2, 2003

Docket No.:

929.001US2

Title:

APPARATUS AND METHOD OF FLUID INFUSION

# MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Communication Re: Small Entity Status

# Dear Sir or Madam:

I, Mark A. Litman, as the attorney of record for the above-mentioned patent application, upon best information and belief hereby state that HPS Medical, Inc. is a small entity in accordance with the statute 37 C.F.R. 1.9(f) and 1.27(c).

MARK A. LITMAN & ASSOCIATES, P.A.

York Business Center, Suite 205, 3209 W. 76<sup>th</sup> St. Edina, MN 55435 (952-832-9090)

Atty: Mark A. Litman

Reg. No. 26,390

**CERTIFICATE UNDER 37 C.F.R. 1.10:** 

'Express Mail' mailing number: EV298710909US

Date of Deposit: October 2, 2003

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313.

MARK A. LITMAN